

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Reitz et al.

Appln. No. : 09/433,202

Filed : November 4, 1999

For : PARTICLE DISPERSIONS

Docket No.: N19.12-0026

~~11~~ Group Art Unit: 1755

Examiner: M.
Marcheschi



INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Repln. Ref: 02/27/2001 SSESHE1 0007190100
DAB:231123 Name/Number:09433202
FC: 704 \$60.00 CR

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

91 DAY OF February 2001

Peter J. Blaich

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO
Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. ~~Copies~~ ¹ of the
the patents or publications cited are enclosed.

RECEIVED
SEARCHED
INDEXED
ENTERED
NOV 17 2001

Submitted herewith is a copy (with English translation as
appropriate) of an Official Search Report of the PCT Patent Office
in counterpart foreign application No. PCT/US00/30288 filed 02
November 2000.

02/27/2001 SSESHE1 0000006 09433202

01 FC:126

100.00 CR

TIME OF FILING

The information disclosure statement is being filed:

1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

2. after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R.

§ 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. a statement as specified in 37 C.F.R. § 1.97(e).
- B. the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(i).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).



METHOD OF PAYMENT

 No fee is required.
 X Attached is a check in the amount of \$240.00.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: *Peter S. Dardi*
Peter S. Dardi, Ph.D., Reg. No. 39,650
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3119
Phone: (612) 334-3222
Fax: (612) 334-3312

PSD:nhw

RECEIVED
MAR - 1 2001
TECHNOLOGY CENTER 1700